

SPEECH TECHNOLOGY SOLUTIONS

## Mobile Conversay Software Development Kit

Getting Started

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Chapter 1: Introduction	
Features of Mobile Conversay SDK	9
Overview	10
Documentation Conventions	10
Documentation Formats	11
Developing in C	13
Deploying on the Pocket PC Platform	16
Deploying on the Embedded Linux Platform	17
Other Resources	
Chapter 2: Getting Started	19
Supported Operating Systems	20
Hardware Requirements	21
Installing Mobile Conversay SDK on Windows	22
Installing Mobile Conversay SDK on Linux	24

Header Files	26
Library Files	27
Data Files	
Sample Applications	29
Creating Well-Behaved Applications	
Conversational Focus Management	
Data Lifetime Control	
Steps to Creating Well-Behaved Applications	
Step 1. Creating a Speech Recognition Object	
Step 2. Creating a Text-to-Speech Object	
Step 3. Creating a Context Object	
Step 4. Creating a Topic Object	
Step 5. Setting and Compiling the Grammar	
Step 6. Setting the Callback Functions	
Step 7. Controlling the Focus	
Step 8. Processing Callback Events	
Step 9. Releasing the Class Resources	



Understanding the Basics: The Hello World Example	37
Including the API Headers	38
Defining Strings for OS Portability	38
Defining the CHelloWorldApp Class	38
Defining the Class Constructor	
Defining the Class Destructor	40
Defining the CHelloWorldApp:: Run Method	40
Creating the Speech Recognition Object	40
Creating the Text-to-Speech Object	41
Creating the Audio Output Object	41
Creating a Context Object	41
Adding the Context to the SR Object	41
Creating a Topic Object	42
Adding the Topic Object to the Context Object	
Setting a Grammar for the Topic	42
Compiling the Topic	43
Setting the Callback Function	43
Activating the Topic	43
Playing the .wav Files	
Calling the ReleaseResources() Function	44
Defining the CHelloWorldApp:: Callback Method	44
Recognizing the HELLO Grammar	45
Recognizing the BYE Grammar	45
Recognizing the HELP Grammar	46
Defining CHelloWorldApp:: ReleaseResources	46
Defining the Main Function	48

Chapter 3: Designing a Voice User Interface	49
Designing For Speech	
Prompts	51
Explicit and Implicit Prompts	51
Tapering Prompts	
Incremental Prompts	
Feedback	53
Tips for Providing Feedback	53
Dealing with Failures and Errors	55
Causes and Consequences of Failures and Errors	55
Tips for Handling Failures and Errors	55
Mixing VUIs and GUIs	58
Latency	
Chapter 4: BNF Grammar	60
About Backus-Naur Form	61

Using BNF Grammars with CASSI	62
A Simple Grammar	63
Using Tag Mapping	65
Making Rules Optional	66
Using Recursive Grammars	67
Creating More Complex Grammars	67
Tips for Forming Grammars	70
Example Grammars	71

Chapter 5	: Glossary	······································	73
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# Introduction

Mobile Conversay<sup>TM</sup> Software Development Kit 1.02 is Conversay's voice platform for creating robust voice user interfaces on personal digital assistants, phones, and other mobile devices.

### In This Section

- Features of Mobile Conversay SDK
- Overview
  - Documentation Conventions
  - Documentation Formats
- Developing in C
- Deploying on the Pocket PC Platform
- Deploying on the Embedded Linux Platform
- Other Resources



### Features of Mobile Conversay SDK

Using Mobile Conversay SDK, a mobile application developer can create applications that perform the following tasks:

- Initialize and uninitialize multiple instances of speech recognition (SR) and text-to-speech (TTS) objects.
- Set callback events.
- Detect word, pause, speaking finished, and custom events.
- Recognize a specified grammar (U.S. English supported in this release).
- Use spelling-to-pronunciation (STP) rules to enhance pronunciation and recognition accuracy.
- Generate custom grammars, including multiple topics and contexts.
- Play a specified text string.
- Stop, fast forward, and rewind synthesized TTS output.
- Play .wav files on the audio output channel.
- Input .wav files for speech recognition.
- Detect the state of device microphone and speakers.
- Retrieve instances of user barge-in (only on full-duplex devices).
- Retrieve detailed error and troubleshooting information.

### Overview

"Introduction" provides an overview of the SDK's features and information about the documentation. You will also learn how to access the API using the C language and how to distribute your application on Pocket PC and embedded Linux devices.

"Getting Started" describes the system requirements and installation procedures for integrating the Mobile Conversay SDK into your development environment. Descriptions are provided for the API header files, data files, sample applications, and libraries. You will also learn how to program a simple application that listens for specific words and phrases and responds with text-to-speech synthesis. The application also shows you how to play .wav files to provide helpful user feedback and help.

"Designing a Voice User Interface" describes principles and methods of designing voice user interfaces (VUIs) for speech recognition and text-to-speech applications. You will learn about the elements of a well-designed VUI and the differences between implicit, explicit, tapering, and incremental prompts. Tips are provided to help you avoid common mistakes in VUI design.

"BNF Grammar" describes Backus-Naur Form, a standard notation convention used to describe speech recognition grammars. Even if you are already familiar with BNF notation, you can find useful information such as a syntax reference and samples of common notations.

### **Documentation Conventions**

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The font and style conventions used in this document make it easy to identify items such as code to type, procedures, and cross-references to related topics.

Bold indicates a user interface element.

Monospace font indicates code, file names, and directory paths.



#### **Finding Procedures**

Procedure headings begin with the word "To" so you can find them quickly. Here is an example of a procedure:

#### **To Find a Procedure**

• Look for a heading that begins with the word "To." Procedures with more than one step are numbered. One-step procedures like this one are marked with a bullet.

#### **Finding Tips and Notes**

Tips and notes appear with special formatting:

TIP:	Tips provide recommendations that can help you increase the effectiveness of your programming.
NOTE:	Notes contain additional information about features and techniques that are not part of a procedure.

#### Finding Cross-References to Related Topics

Cross-references to related sections of the SDK appear in the format illustrated below:

See Also: "Documentation Formats," page 11

### **Documentation Formats**

This document is available in .pdf, .html, and .chm formats. The .html and .chm help systems also include the contents of *A Guide to the CASSI Services API*, a document available as a separate .pdf file.

#### To View the .pdf Documentation

- 1. If you do not have Adobe Acrobat Reader software installed on your computer, download it from the Adobe Web site (www.adobe.com).
- 2. Open one or both of these files:
  - a. MobileConversaySDK\_GettingStarted.pdf

. . . .



- b. MobileConversaySDK\_API.pdf
- NOTE: Documentation is installed in the docs folder of the Mobile Conversay SDK folder. On Microsoft® Windows® systems, the default location is C:\Program Files\Conversay\Mobile Conversay SDK\docs\. On Linux systems, there is no default location; however, a typical location is / usr/local/share/Conversay/ MobileConversaySDK/docs/.

#### To View the .html Documentation

- Open the file MobileConversaySDK.html.
- NOTE: Documentation is installed in the docs folder of the Mobile Conversay SDK folder. On Windows systems, the default location is C:\Program Files\Conversay\Mobile Conversay SDK\docs\. On Linux systems, there is no default location; however, a typical location is /usr/ local/share/Conversay/ MobileConversaySDK/docs/.

#### To View the .chm Documentation

- Open the file MobileConversaySDK.chm.
- NOTE: This help system is only available for Windows. Computers with operating systems older than Windows 2000 may require Microsoft Internet Explorer 4.0 or later to view the help file.



## Developing in C

You can use the C or C++ programming languages to develop mobile applications with the Mobile Conversay SDK. This document provides syntax and examples in C++; however, the API supports C-style calls.

To program using C, it is necessary to use the CVAOBJMACROS. If CVAOBJMACROS is undefined or does not exist, then code written in C will not function. The cvaservices.h file, part of the standard API, contains the macros needed to translate C-style code into the corresponding C++ code.

An API call is presented below in three different ways. Then a full sample further illustrates the C-style calls.

```
C++ Code
ICVAObjectPtr->AddRef();
```

### C Code Using C Style

ICVAObject\_lpVtbl->AddRef(ICVAObjectPtr);
\\* This call requires that \*\
\\* CVAOBJMACROS has been defined. \*\

C Code Using C++ Style
ICVAObjectPtr->lpVtbl->AddRef(ICVAObjectPtr);

#### **Full Sample**

The sample below illustrates how an application can be designed using API calls in C. This sample shows conditional statements used to determine if CVAOBJMACROS has been defined. If the macro is defined, traditional C-style function calls are used; if the macro is not defined, API calls map a C++ style to a C structure.

```
#include <windows.h>
#define CVAOBJMACROS
#include "include\cvaservices.h"
int WINAPI WinMain( HINSTANCE hInstance,
        HINSTANCE hPrevInstance,
        LPTSTR lpCmdLine,
        int nCmdShow)
```

```
{
ICVASRInstance *pSR;
ICVASRContext *pContext;
ICVATopic *pTopic;
// Creating instances of SR and context objects
CVACreateInstance(CLSID CVASRInstance, NULL, 0,
IID_ICVASRInstance, &pSR);
CVACreateInstance(CLSID_CVAContext, NULL, 0,
IID_ICVAContext, &pContext);
// Adding a context to a SR object instance
#ifdef CVAOBJMACROS // If C macros defined...
ICVASRInstance_Add(pSR, pContext);
#else // otherwise...
pSR->lpVtbl->Add(pSR, pContext);
#endif
// Using class factory to create object instance
CVACreateInstance(CLSID_CVATopic, NULL, 0,
IID_ICVATopic, &pTopic);
// Adding a topic to a context
#ifdef CVAOBJMACROS // If C macros defined...
ICVAContext_Add(pContext, pTopic);
#else // otherwise...
pContext->lpVtbl->Add(pContext, pTopic);
#endif
// Setting a grammar, compiling & activating topic
#ifdef CVAOBJMACROS // If C macros defined...
ICVATopic_SetGrammar(pTopic, _T("<G> ::= ONE | TWO
| THREE | FOUR | FIVE | SIX | SEVEN | EIGHT | NINE
ZERO | OH."), GRAM FMT BNF);
ICVATopic Compile(pTopic);
```

conversay

```
ICVATopic_Activate(pTopic);
#else // otherwise...
pTopic->lpVtbl->SetGrammar(pTopic, _T("<G> ::=
ONE | TWO | THREE | FOUR | FIVE | SIX | SEVEN |
EIGHT | NINE | ZERO | OH."), GRAM_FMT_BNF);
pTopic->lpVtbl->Compile(pTopic);
pTopic->lpVtbl->Activate(pTopic);
#endif
}
```





### **Deploying on the Pocket PC Platform**

On the Pocket PC platform, you can deploy custom applications as well as the sample applications that are distributed with the SDK. CASSI<sup>TM</sup> Services is the API for accessing the core speech engine. The following files must be included in the system's /windows/ directory for CASSI Services to function properly:

- CVAServer.exe
- CVAAudio.dll
- CVAProxy.dll
- CVAPwrMgmt.exe

CASSI, the core speech engine, performs speech recognition, synthesis, and text-to-speech. The following files must be included in the /windows/ directory for CASSI to function properly:

- C5cassi.dll
- c5ae08k.aqt
- c5ae08k.mod
- c5ae11k.spk
- c5ae.stp
- c5aemain.cdc

Additionally, the following file is required for the Mobile Conversay environment:

• SpPref.exe



## **Deploying on the Embedded Linux Platform**

On the embedded Linux® platform, you can deploy custom applications as well as the sample applications that are distributed with the SDK. To install applications on an embedded Linux device, CASSI dictionary and other files need to be transferred to the device. The following files must be included in the /usr/local/share/ cassi directory or another directory specified in the CASSI\_HOME environment variable:

- c5ae08k.aqt
- c5ae08k.mod
- c5ae11k.spk
- c5ae.stp
- c5aemain.cdc



### **Other Resources**

Conversal strives to provide complete reference manuals and how-to guides, but occasional gaps occur. If you have trouble finding an answer in this documentation, then try these additional resources:

#### Sending Feedback

You can help to eliminate gaps in Conversay documentation in future releases. If you have suggestions or comments about this documentation, then we would like to hear from you. Please send your feedback to Documentation\_Feedback@conversay.com.

#### **Technical Support**

For access to technical support, refer to your technical support contract with Conversay or a value-added reseller. If you do not have a technical support contract, call 1-888-487-4373 to purchase one.

#### The Conversay Web Site

Visit http://www.conversay.com for information about Conversay products, partners, and solutions.

#### The Conversay Developer Network

The Conversay Developer Network provides resources for developers who are creating and implementing Conversay-based speech technology solutions. To learn more about the CDN, visit http://cdn.conversay.com.



# Getting Started

This section provides information on system requirements, installation, recognizing SDK files, and an introduction to programming well-behaved speech applications.

### In This Section

- Supported Operating Systems
- Hardware Requirements
- Installing Mobile Conversay SDK on Windows
- Header Files
- Library Files
- Data Files
- Sample Applications
- Creating Well-Behaved Applications
  - Conversational Focus Management
  - Data Lifetime Control
  - Steps to Creating Well-Behaved Applications
  - Understanding the Basics: The Hello World Example

## Supported Operating Systems

The SDK supports the following operating systems for developing your applications:

- Microsoft® Windows® 2000
- Linux® 2.4 kernel

The following embedded platforms and operating systems are supported for deploying applications:

- Pocket PC platform
- Embedded Linux 2.4 kernel



## Hardware Requirements

The Mobile Conversay<sup>TM</sup> SDK supports most hardware configurations from all major Pocket PC devices equipped with microphones and speakers. The following chip sets are supported:

- StrongARM® microprocessor designed by ARM Ltd.
- SH3 (SuperH<sup>TM</sup> microprocessor) from Hitachi
- MIPS designed by MIPS Technologies
- 32-bit x86 platforms (Pocket PC emulator)

The following hardware configurations are supported on Linux platforms with microphones and speakers:

- StrongARM® microprocessor designed by ARM Ltd. (embedded systems)
- 32-bit x86 platforms (Linux desktop systems)

The following RAM is required to deploy the SDK:

• 16 MB RAM (32 MB recommended)



#### To Install Mobile Conversay SDK on Windows 2000

- 1. Open Setup.exe. When you install from a CD-ROM, the program starts automatically as soon as you insert the disc into your CD-ROM player.
- 2. In the Welcome screen of the installation wizard, click Install.
- 3. Click Next.
- 4. Read the license agreement, select the **I Accept** option, and click **Next**.
- 5. Enter your user name and organization, select an access option, and click **Next**.
- 6. Select the **Complete** option, and click **Next**.
- 7. Click Next, click Install, and then click Finish.
- NOTE: The default installation location is C:\Program Files\Conversay\Mobile Conversay.

#### To Build the Sample Applications on the Emulator:

- Use the Pocket PC emulator's Start Menu to launch the application.
- NOTE: The Mobile Conversay SDK automatically installs all the needed files on the Pocket PC emulator.

#### To Install Sample Applications on Pocket PC Devices

• Copy the following files to the system's /windows / directory:

CVAServer.exe CVAAudio.dll CVAProxy.dll CVAPwrMgmt.exe C5cassi.dll c5ae08k.aqt c5ae08k.mod c5ae11k.spk c5ae.stp



NOTE: Before building the samples, build the Portability library to ensure that the application functions on any supported operating system. To do this, open the portability.vcp file in the samples/ Portability folder in Microsoft embedded Visual Studio, and then run Build All. In the sample's folder, open the .vcp file in Microsoft embedded Visual Studio and then run Build All.



20

#### To Install Mobile Conversay SDK on Linux

- 1. Change directories to the directory where you wish to install the API (for example, cd /usr/local/share).
- 2. Verify that you have permission to write to the selected directory.
- Copy the distributed .tar file to the selected directory (for example, cp /tmp/ CassiServicesLinuxBuild.tar.gz).
- 4. Extract the file from the compressed .tar file (for example, tar xzvf CassiServicesLinuxBuild25.tar.gz).

Two top level directories and sub-level directories are created. The top directories are CASSIServices and CASSI.

- 5. Before building anything, you must first set the environment variables. The file CASSIServices/Examples/ unix\_bld\_tools/CASSIServEnv.sh contains examples of the variables that need to be set. Modify this file to point to the new location (for example, change BASEDIR to BASEDIR=/usr/local/share).
- To place the environment variables into your current shell, run . CASSIServices/Examples/bin/X86./CassiTest.
- NOTE: The PATH environment variable must contain the path to the CASSIServices/bin/ and the LD\_LIBRARY\_PATH must contain the path to CASSIServices/lib/ for the platform you are running (for example, export LD\_LIBRARY\_PATH=\$LD\_LIBRARY\_PATH: / usr/local/Convseray/CASSIServices/ X86) and PATH=\$PATH:/usr/local/ Convseray/CASSIServices/X86).

#### To Build the Sample Applications on the Desktop:

- 1. cd CASSIServices/Examples/Portability
- 2. Type one of the following commands, depending on the chip set version you want to build:
  - make X86 (X86 version).



- make Linupy (Linupy ARM).
- NOTE: Only one platform can be built at a time and you must do a "make clean" between builds.

## To Install Sample Applications on Embedded Linux Devices

- 1. Transfer the CASSI Dictionary and the other files in CASSI/ DataFiles onto the device.
- NOTE: By default, the CASSIServices library looks for the CASSI-related files in /usr/local/share/ cassi. If you choose another location, you must set the CASSIHOME environment variable (e.g., export CASSIHOME=/usr/local/Conversay/ CASSI/Datafiles).
- 2. Copy the files specific to the application onto the device's usr/ local/bin directory using minicom or some other means.
- NOTE: To run the Hello World sample, it is necessary to copy the hellostart.wav and hellobye.wav files to the device's usr/local/bin directory. These files are located in the Examples/HelloWorld directory.

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1-

## **Header Files**

The following header files are needed to compile and link a speech application using the CASSI<sup>TM</sup> Services API.

Table 2-1 Header Files

File Name	Description
CVAPtr.h	Smart pointers for ICVA objects.
CVAServices.h	Interface declarations for all objects.
CVATypes.h	Public data type declarations for CASSI Services.
STDTypes.h	Additional type definitions.



## Library Files

The following libraries must be included to create a speech application using the CASSI Services API. All of the .lib files have the same name (CVAApi.lib), but they are located in different folders.

Table 2-2 Library Folders

Folder	Platform
ARMdbg	Debug libraries for the StrongARM chip set.
ARMrel	Release libraries for the StrongARM chip set.
SH3dbg	Debug libraries for the SH3 chip set.
SH3rel	Release libraries for the SH3 chip set.
X86EMdbg	Debug libraries for the Pocket PC emulator.
X86EMrel	Release libraries for the Pocket PC emulator.
NOTE	Some of these file names are different for Linux

NOTE: Some of these file names are different for Linux systems.

When you create a speech application using the CASSI Services API, you must link to one of the library files provided in the Lib folder of the SDK. Each library file is created for a specific platform. The library file that you link to must correspond to the platform that you are creating the application on.



Data files are provided in the bin directory and are used by CASSI at run time. The table below lists and describes the data files that are included with Mobile Conversay SDK:

Table 2-3 Data Folder

File	Description
C5ae.stp	<i>Spelling-to-pronunciation (STP) file.</i> Provides rules for postulating the pronunciation of any words that are not found in the dictionary or in auxiliary lexicons.
C5ae08k.aqt	<i>Acoustic question table file.</i> Required for compiling topics, speech recognition, and TTS.
C5ae08k.mod	Acoustic model file. Compares incoming speech to known acoustic segments. Required for speech recognition.
C5aellk.spk	<i>Speaker file</i> . Gives acoustic values for text-to-speech output.
C5aemain.cdc	<i>Dictionary file.</i> Contains pronunciations for many common words. Required for compiling topics, speech recognition, and TTS.

NOTE: In the file names, "ae" denotes American English.



## **Sample Applications**

The table below lists and describes the sample applications that are included with the SDK.

Table 2-4 Sample Applications

Name	Description
FinancialApp	A loan calculator program.
HelloWorld	A program that recognizes the spoken phrase "hello world" and responds with text-to-speech synthesis and audio output.



CASSI Services supports running multiple multi-threaded applications simultaneously on a mobile device. CASSI Services is designed to manage the system resources it uses and arbitrate demands for access to the audio system. However, application designers should still create well-behaved applications to avoid conflicts over audio resources and to avoid stretching other resources of the device beyond tolerable limits.

A well-behaved application manages conversational focus and exercises proper data lifetime control. In the topics that follow, you will learn about these techniques and the process used to make wellbehaved applications. A complete application, "Hello World," is given as an example to illustrate basic procedures and good techniques.

### **Conversational Focus Management**

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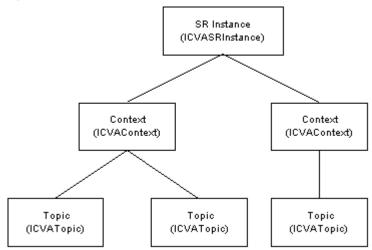
Conversational focus restricts speech recognition to designated elements within an application. While a speech application is running, conversational focus shifts depending on the tasks that are being performed. At any given time, some elements of the application will be "in conversational focus" and some will be "out of conversational focus."

CASSI Services implements conversational focus management with the ICVASRInstance, ICVAContext, and ICVATopic interfaces (or simply "SR," "context," and "topic" objects). The SR object owns an object, or it can be the parent of one or more contexts. A context owns one or more topics. This hierarchical relationship is called the "focus hierarchy." See Figure 2-1 on page 31.

An object is in focus if it is active and its parents are active. A topic is in focus if its SR is active, and its parent SR and context object are active. Likewise, a topic can be shifted "out of focus" by deactivating its context or SR instance or by deactivating its parent's context or SR instance. Note that when a parent is deactivated and subsequently re-activated, its children are restored to their prior state, assuming that the children were not explicitly activated or deactivated in the interim.



#### Figure 2-1 Focus Hierarchy



### **Data Lifetime Control**

A well-designed application optimizes performance and minimizes the amount of system resources that are used. One way to optimize performance is to eliminate unnecessary repetition of time-consuming functions like topic compilation. You should also avoid duplication of topics and grammars whenever possible. CASSI Services is designed to minimize resource usage by monitoring all of the API objects by a reference-count (or ref-count). When an object is no longer needed, its ref-count is decremented by *1*; when there are no longer any users of an object, its ref-count reaches *0* and it is automatically destroyed in order to free system resources.

To ensure that this mechanism functions properly, you must call the Release method on an object's interface pointer when you are done using it. Also, if you copy an interface pointer, it is essential that you call AddRef on the pointer prior to doing anything else with the original or the copy. For further information, see the resource management rules of the COM documentation.

When an application starts, it typically attempts to create some API objects. This attempt causes the CASSI Services server to load and start running if it is not running already. When no applications are using CASSI Services, the server is stopped and unloaded from memory. Each time an application creates an API object, CASSI Services checks available memory against the memory threshold and returns an error if there is not sufficient memory.

### Steps to Creating Well-Behaved Applications

 While every application is unique, certain steps are performed in a particular order in a typical well-behaved application:
Step 1. Creating a Speech Recognition Object
Step 2. Creating a Text-to-Speech Object
Step 3. Creating a Context Object
• To Create a Context Object
• To Add the Context to the SR Object
Step 4. Creating a Topic Object
• To Create a Topic
• To Add the Topic to the Context
Step 5. Setting and Compiling the Grammar
• To Set a Grammar for the Topic
• To Compile the Topic
Step 6. Setting the Callback Functions
Step 7. Controlling the Focus
To Gain Control of Focus
To Relinquish Control of Focus
Step 8. Processing Callback Events
Step 9. Releasing the Class Resources
• To Release The SR Object
• To Release The TTS Object

Step 1. Creating a	A speech recognition (SR) object initiates the application to begin
Speech Recognition	listening to the user's speech. The SR object is optional if your
Object	program does not require speech recognition.

#### To Instantiate a Speech Recognition Object

• Call CVACreateInstance with the interface identifier for the ICVASRInstance object.

	<pre>Sample Code CVACreateInstance( // Instantiates an SR object CLSID_CVASRInstance, // Class ID NULL, // NULL 0, // NULL IID_ICVASRInstance, // Interface ID (void**)&amp;m_pSR // Pointer to object );</pre>
Step 2. Creating a Text-to-Speech Object	Create a text-to-speech (TTS) object to enable the program to talk back to the user. The TTS object is optional if your program does not require text-to-speech synthesis. <b>To Instantiate a Text-to-Speech Object</b>
	• Call CVACreateInstance with the interface identifier for the ICVATTSInstance object.
	<pre>Sample Code CVACreateInstance( // Instantiates TTS object CLSID_CVATTSInstance, // Class ID NULL, // NULL 0, // NULL IID_ICVATTSInstance, // NULL IID_ICVATTSInstance, // Interface ID (void**)&amp;m_pTTS // Pointer to object );</pre>
Step 3. Creating a Context Object	Create a context and add it to the SR object. At least one context is required, but you may create multiple contexts if necessary.
	To Create a Context Object

• Call CVACreateInstance with the interface identifier for the ICVAContext object.

Conversay

2

#### Sample Code

```
CVACreateInstance(

CLSID_CVAContext, // Class ID

NULL, // NULL

0, // NULL

IID_ICVAContext, // Interface ID

(void**)&m_pContext // Pointer to object

);
```

#### To Add the Context to the SR Object

• Call the Add method of the ICVASRInstance object with the ICVAContext object.

#### Sample Code

Step 4. Creating aCreate a topic and add the topic to the context. At least one topic isTopic Objectrequired for each context. However, you may have multiple topics in<br/>each context.

#### To Create a Topic

• Call CVACreateInstance with the interface identifier for the ICVATopic object.

#### Sample Code

```
CVACreateInstance(
CLSID_CVATopic, // Class identifier
NULL, // NULL
0, // NULL
IID_ICVATopic, // Interface identifier
(void**)&m_pTopic // Pointer to object
);
```

#### To Add the Topic to the Context

• Call the Add method of the ICVAContext object with the ICVATopic object.

#### Sample Code



Step 5. Setting and	Define the grammar and compile it to enable the topics to be recog-
Compiling the	nized by the speech recognition engine. Use a text string in BNF
Grammar	format to supply the grammar.

#### To Set a Grammar for the Topic

• Call the SetGrammar method of the ICVATopic object with a text string.

#### Sample Code

```
m_pTopic->SetGrammar(
TEXT("<simplegrammar> ::= \
    HELLO_WORLD:HELLO | HOWDY_WORLD:HELLO | \
    HI_WORLD:HELLO | GOODBYE:BYE | \
    HELP:HELP."), GRAM_FMT_BNF);
```

#### To Compile the Topic

• Call the Compile method of the ICVATopic object.

#### Sample Code

```
m_pTopic->Compile( // Compiles the grammar
);
```

Step 6. Setting the Set a callback function that is fired when a speech recognition event occurs. If your application requires other events, such as knowing when an utterance is finished, you need to set callback functions for those events.

#### To Set a Callback for the Topic

- Call the SetEventCallback method of the ICVATopic object.
- NOTE: Use the SetEventCallback method of the ICVASRInstance and ICVAContext objects to set callback functions for objects other than topic objects.

#### Sample Code

```
m_pTopic->SetEventCallback(
WORD_EVENT, // Event type
this, // Application data
Callback); // Pointer to callback function
```



Step 7. Controlling	The next step is to establish the conversational focus to gain control
the Focus	of the device's audio system. Focus control is required when you
	have multiple contexts and topics. The contexts and topics must be
	activated and deactivated under various conditions to control what
	part of the program the user can interact with. Even in a very simple
	application with a single context and topic, the topic must still be
	activated and deactivated.

#### **To Gain Control of Focus**

- Call the Activate method of the ICVATopic object.
- **NOTE:** The parent SR and context objects of the topic object must also be active for the topic to be put in focus.

#### Sample Code

```
m_pTopic->Activate( // Puts topic in focus
);
```

#### **To Relinquish Control of Focus**

- Call the Deactivate method of the ICVATopic object.
- NOTE: A topic can also be put out of focus by deactivating its parent context or SR instance objects.

#### Sample Code

```
m_pTopic->Deactivate( // Puts topic out of focus
);
```

Step 8. Processing	The next step is to process your callback events. For example, you
Callback Events	can synthesize a text-to-speech response or play a .wav file.

#### **To Process Callback Events**

- 1. Use conditional statements that allow you to detect each condition.
- 2. For each event you want to detect, call the eventData of the EventMsg structure.

#### Sample Code

```
if
(!_tcscmp(pEventMsg->eventData.RecoWord.pszTag,
TEXT("HELLO")))
```



```
{
    // Say an acknowledgement
    pThis->m_pTTS->Speak(
    TEXT("HI, HOW ARE YOU TODAY?"), false);
}
else
if
    (!_tcscmp(pEventMsg->eventData.RecoWord.pszTag,
    TEXT("BYE")))
{
    // Say an acknowledgement
    pThis->m_pTTS->Speak(
    TEXT("GOODBYE, HAVE A NICE DAY."), false);
}
break;
```

Step 9. Releasing the Class Resources

When your application is completed, release the class resources for any objects you have created.

#### To Release The SR Object

• Call the Release method of the ICVASRInstance object with the ICVAContext object.

#### Sample Code

m\_pSR->Release(); // Releases the SR object

#### To Release The TTS Object

• Call the Release method of the ICVASRInstance object with the ICVAContext object.

#### Sample Code

```
m_pTTS->Release(); // Releases the TTS object
```

## Understanding the Basics: The Hello World Example

. . . .

The Hello World example is a complete application that demonstrates the CASSI Services speech recognition, text-to-speech, and audio streaming capabilities. The program plays a .wav file that prompts the user to say "hello world" or "goodbye." The program listens for a response and offers a reply through text-to-speech syn-



	thesis. The program terminates when the user says "goodbye." You can find helloworld.cpp and the associated .wav files in the samples folder of the Mobile Conversay SDK.	
Including the API Headers	This section of code includes the CASSI Services API files and a library of functions that are portable abstractions of operating system calls. These functions have names that begin with the word "Portable." Code for these functions is in portability.h and portability.cpp.	
	Sample Code #include "STDTypes.h" #include "CVAServices.h" #include "portability.h"	
Defining Strings for OS Portability	This code defines strings that account for differences in the path- naming conventions on various platforms supported by the CASSI Services API.	
	<pre>Sample Code #ifdef _WIN32 LPTSTR HelloStartFile = TEXT("\\windows\\hellostart.wav"); LPTSTR HelloByeFile = TEXT("\\windows\\hellobye.wav"); #endif #ifdef unix LPTSTR HelloStartFile = TEXT("hellostart.wav"); LPTSTR HelloByeFile = TEXT("hellobye.wav"); #endif</pre>	
Defining the CHelloWorldApp Class	This code defines the class that implements simple speech recognition.	
	<pre>Sample Code class CHelloWorldApp {     public:     // The constructor     CHelloWorldApp();</pre>	



```
// The destructor
                           ~CHelloWorldApp();
                           // Start the app, return when it exits
                           CVAHRESULT Run();
                           private:
                           // Disable copy operator
                           CHelloWorldApp(const
                           CHelloWorldApp&);
                           // Disable assign operator
                           CHelloWorldApp& operator=(const
                           CHelloWorldApp&);
                           // Define the topic's callback
                           static void Callback(const EventMsg*,
                           void*, void*);
                           // Release all the class resources
                           CVAHRESULT ReleaseResources();
                           // An event, when set, causes app to exit
                           HANDLE m exitEvent;
                           // Interface to speech reco object
                           ICVASRInstance* m_pSR;
                           // Interface to speech synthesis object
                           ICVATtsInstance* m_pTTS;
                           // Interface to a context object
                           ICVAContext* m_pContext;
                           // Interface to a topic object
                           ICVATopic* m_pTopic;
                           // Interface to audio output stream object
                           ICVAAudioOutStream* m_pOutStream;
                      };
Defining the Class
                      This code defines a method that is used to construct the CHel-
Constructor
                      loWorldApp class.
                      Sample Code
                      CHelloWorldApp::CHelloWorldApp()
```

:m\_exitEvent(0), m\_pSR(0), m\_pTTS(0),



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	<pre>m_pContext(0), m_pTopic(0), m_pOutStream(0) { }</pre>
Defining the Class Destructor	This code defines a method that is used to destroy the CHel- loWorldApp class.
	Sample Code CHelloWorldApp::~CHelloWorldApp() { }
Defining the CHelloWorldApp:: Run Method	This code defines a method that is used to instantiate the speech rec- ognition, text-to-speech, and audio objects. This method is also responsible for creating the context, topic, and grammar.
	<pre>Sample Code CVAHRESULT CHelloWorldApp::Run() {      CVAHRESULT res = CVAE_FAIL;      // Create event to wait until time to exit      m_exitEvent = PortableCreateEvent();      if (!m_exitEvent) {      return CVAE_FAIL; }</pre>
Creating the Speech Recognition Object	This code is part of the CHelloWorldApp::Run method. It instantiates the speech recognition object.
	<pre>// Instantiate an SR object, leave it active res = CVACreateInstance(CLSID_CVASRInstance, NULL, 0, IID_ICVASRInstance, (void**)&amp;m_pSR); if (CVAFAILED(res)) {     ReleaseResources();     return res; }</pre>

```
Creating the Text-
                        This code is part of the CHelloWorldApp::Run method. It
to-Speech Object
                        instantiates the text-to-speech object.
                       Sample Code
                        // Instantiate TTS object, leave it active
                       res = CVACreateInstance(CLSID_CVATTSInstance,
                       NULL, 0, IID_ICVATTSInstance, (void**)&m_pTTS);
                        if (CVAFAILED(res))
                        {
                             ReleaseResources();
                             return res;
                        }
Creating the Audio
                       This code is the part of the CHelloWorldApp::Run method. It
Output Object
                        instantiates the audio output stream object.
                       Sample Code
                        // Instantiate an audio output stream
                        // object, leave it active
                       res = CVACreateInstance(CLSID_CVAOutputStream,
                       NULL, 0, IID_ICVAOutputStream,
                        (void**)&m_pOutStream);
                        if (CVAFAILED(res))
                        {
                             ReleaseResources();
                             return res;
                        }
Creating a Context
                       This code is the part of the CHelloWorldApp::Run method. It
Object
                        instantiates the context object.
                       Sample Code
                        // Create a context, leave it active
                       res = CVACreateInstance(CLSID_CVAContext, NULL,
                        0, IID_ICVAContext, (void**)&m_pContext);
                        if (CVAFAILED(res))
                        {
                             ReleaseResources();
                             return res;
                        }
Adding the Context
                        This section of code is the part of the CHelloWorldApp::Run
to the SR Object
                        method. It adds the context object to the speech recognition object.
```

```
// Add the context to the SR object
                       res = m_pSR->Add(m_pContext);
                       if (CVAFAILED(res))
                       {
                             ReleaseResources();
                             return res;
                       }
Creating a Topic
                       This section of code is part of the CHelloWorldApp::Run
Object
                       method. It creates a topic object.
                       // Create a topic, leave inactive for now
                       res = CVACreateInstance(CLSID_CVATopic, NULL,
                       0, IID_ICVATopic, (void**)&m_pTopic);
                       if (CVAFAILED(res))
                       {
                             ReleaseResources();
                             return res;
                       }
Adding the Topic
                       This code is the part of the CHelloWorldApp::Run method. It
Object to the
                       adds the topic object to the context object.
Context Object
                       // Add the topic to the context
                       res = m_pContext->Add(m_pTopic);
                       if (CVAFAILED(res))
                       {
                             ReleaseResources();
                             return res;
                       }
Setting a Grammar
                       This section of code is part of the CHelloWorldApp::Run
for the Topic
                       method. It sets the grammar for the topic object.
                       // Set a grammar for the topic using BNF
                       res = m pTopic->SetGrammar(
                       TEXT("<simplegrammar> ::= \
                                HELLO_WORLD:HELLO | HOWDY_WORLD:HELLO | \
                                HI_WORLD:HELLO | GOODBYE:BYE | \
                                HELP:HELP."),GRAM_FMT_BNF);
                       if (CVAFAILED(res))
                       {
                             ReleaseResources();
                             return res;
                       }
```

```
Compiling the
                       This code is the part of the CHelloWorldApp::Run method. It
Topic
                       compiles the grammar of the topic object.
                       // Compile the grammar
                       res = m_pTopic->Compile();
                       if (CVAFAILED(res))
                        {
                             ReleaseResources();
                             return res;
                        }
Setting the
                       This code sets the callback function.
Callback Function
                       Sample Code
                       // Set a callback for the topic
                       res = m_pTopic->SetEventCallback(WORD_EVENT,
                       this, Callback);
                       if (CVAFAILED(res))
                        {
                             ReleaseResources();
                             return res;
                        }
Activating the
                       This code activates the topic.
Topic
                       Sample Code
                        // Activate the topic
                       res = m_pTopic->Activate();
                       if (CVAFAILED(res))
                        {
                             ReleaseResources();
                             return res;
                        }
Playing the .wav
                       This code plays the hellostart.wav and hellobye.wav
Files
                       files.
                       Sample Code
                        // Play the "hellostart.wav" file
                        // This file contains the recording:
```

```
// "You can say hello world or you can say
                       // Goodbye."
                       res = m_pOutStream->SubmitFile(
                       HelloStartFile, false);
                       if (CVAFAILED(res))
                       {
                            ReleaseResources();
                            return res;
                       }
                       // Wait for the exit event
                       // When received,
                       // proceed with cleanup and return
                       PortableWaitOnEvent(m_exitEvent, INFINITE);
                       // Play the "hellobye.wav" file
                       // This file contains the recording:
                       // "Goodbye!"
                       res = m_pOutStream->SubmitFile(
                       HelloByeFile, false);
                       if (CVAFAILED(res))
                       {
                            ReleaseResources();
                            return res;
                       }
Calling the
                       This code calls the ReleaseResources() function.
ReleaseResources()
Function
                       Sample Code
                       // Release all resources used by the class
                       res = ReleaseResources();
                       return res;
                       }
Defining the
                       This code processes the callback function. It is called when a word or
CHelloWorldApp::
                       phrase in the topic's grammar is recognized. If the application recog-
Callback Method
                       nizes the HELLO, BYE, or HELP grammar, it responds appropriately.
                       Sample Code
                       void CHelloWorldApp::Callback(const EventMsg*
                       pEventMsg, void* pvUserData, void* pv)
                       {
                       // Get the 'this' pointer (static member
                       // functions do not have direct access to it)
                       CHelloWorldApp* pThis =
```

```
(CHelloWorldApp*)pvUserData;
                       // Process according to the event type
                       switch(pEventMsg->eEventType)
                       {
                             case WORD_EVENT:
                             // Check the received word's tag
                             // and respond accordingly
Recognizing the
                       This code is the case in the conditional statement that checks for the
HELLO Grammar
                       HELLO grammar and responds.
                       Sample Code
                             if
                             (!_tcscmp(pEventMsg-
                       >eventData.RecoWord.pszTag,
                             TEXT("HELLO")))
                       {
                             // Say an acknowledgement
                             pThis->m_pTTS->Speak(
                             TEXT("You said hello world."), false);
                       }
                             else
Recognizing the
                       This code is the case in the conditional statement that checks for the
BYE Grammar
                       BYE grammar and returns an exit event.
                       Sample Code
                             if
                             (!_tcscmp(pEventMsg-
                       >eventData.RecoWord.pszTag,
                             TEXT("BYE")))
                       {
                             // Set the exit event
                             // This will cause
                             // run to unblock and return
                             PortableSignalEvent(pThis->m_exitEvent);
                       }
                             else
```

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```
Recognizing the
                        This code is the case in the conditional statement that checks for the
HELP Grammar
                        HELP grammar and responds. Because this is a sample program,
                        there is limited error handling. In an actual application, unexpected
                        events would be handled also.
                        Sample Code
                             if
                              (!_tcscmp(pEventMsg-
                        >eventData.RecoWord.pszTag,
                             TEXT("HELP")))
                        {
                             // Play the "hellostart.wav" file
                             // This file contains a recording:
                             // "You can say hello world, or you can
                             // say goodbye."
                             pThis->m pOutStream->SubmitFile(
                             HelloStartFile, false);
                        }
                             else
                        {
                             // Unexpected phrase
                        }
                        break;
                        default:
                        // Unexpected event
                        break;
                        }
Defining
                        This code releases the system resources used by the class.
CHelloWorldApp::
ReleaseResources
                        Sample Code
                        CVAHRESULT CHelloWorldApp::ReleaseResources()
                        {
                             CVAHRESULT res = CVAOK;
                             CVAHRESULT returnVal = CVAOK;
                        // Remove the topic from the context
                        if (m_pTopic && m_pContext)
                        {
                             res = m_pContext->Remove(m_pTopic);
                             if (CVAFAILED(res))
                        {
                        returnVal = res;
                        }
                        }
```

```
// Remove the context from the SR object
if (m_pContext && m_pSR)
{
     res = m_pSR->Remove(m_pContext);
     if (CVAFAILED(res))
{
returnVal = res;
}
}
// Release the topic
if (m_pTopic)
{
     m_pTopic->Release();
     m_pTopic = 0;
}
// Release the context
if (m_pContext)
{
     m_pContext->Release();
     m_pContext = 0;
}
// Release the audio output stream
if (m_pOutStream)
{
     m_pOutStream->Release();
     m_pOutStream = 0;
}
// Release the SR object
if (m_pSR)
{
     m_pSR->Release();
     m_pSR = 0;
}
// Release the TTS object
if (m_pTTS)
{
     m_pTTS->Release();
     m_pTTS = 0;
}
// Delete the event object
if (m_exitEvent)
{
     PortableDeleteEvent(m_exitEvent);
```



```
m_exitEvent = 0;
}
return returnVal;
}
```

Defining the Main Function This code is the program's main function. It instantiates the CHelloWorldApp class and starts it running by calling Run(). When Run() returns, the program exits.

#### Sample Code

```
int __cdecl main(int argc, char *argv[])
{
    CHelloWorldApp app;
    CVAHRESULT res = app.Run();
    // Won't return until commanded to do so
    if (CVAFAILED(res))
{
    return -1;
}
return 0;
}
```



## Designing a Voice User Interface

This section provides an overview of the principles that you should consider when incorporating a voice user interface (VUI) into a graphical user interface (GUI).

## In This Section

- Designing For Speech
- Prompts
  - Explicit and Implicit Prompts
  - Tapering Prompts
  - Incremental Prompts
- Feedback
  - Tips for Providing Feedback
- Dealing with Failures and Errors
  - Causes and Consequences of Failures and Errors
  - Tips for Handling Failures and Errors
- Mixing VUIs and GUIs
- Latency

## **Designing For Speech**

To be effective, a voice user interface (VUI) must provide a compelling benefit to your users. With that benefit in mind, design the application with speech in mind from the onset. Even if you are adding speech to an existing GUI, you should rethink the fundamental tasks from a conversational perspective.

Some tasks, easily represented in a GUI, may present challenges to represent in a VUI environment. For example, in a calendar application, finding exact dates is easy when the user can see a visual representation of the calendar and click the correct date. In the VUI environment, users think in relative terms. They may say things like "a week from yesterday" or "next Tuesday." Understanding a user's approach to a verbal task in relation to their approach to a visual task is an important principle in VUI design.



. . . .

Well-designed prompts are critical to the success of any VUI application. Prompts serve two purposes:

- As a cue when it is the user's turn to speak.
- As an indication of what may be spoken.

Because of this dual purpose, be careful to ensure that users can distinguish prompts from instructions or other non-interactive components. In addition, follow these tips as you design your prompts:

- Keep prompts short.
- Prompts should be preceded by instructions.
- Place important information immediately preceding the expected user response.

## **Explicit and Implicit Prompts**

Prompts fall into two general categories: explicit and implicit. Explicit (also called directive) prompts indicate exactly what the user should say. Implicit prompts are open-ended; they do not list possible responses.

#### **Example of an Explicit Prompt**

System: Welcome to XYZ Brokerage. You can check an account balance, get a stock quote, or buy a stock. Say "check balance," "get quote," or "buy a stock." Explicit prompts are useful in constraining user responses.

#### **Example of an Implicit Prompt**

System: Welcome to XYZ Brokerage. What would you like to do?

Implicit prompts are more conversational and can provide a natural interaction for the user. However, implicit prompts allow more room for user error.

## **Tapering Prompts**

With tapering prompts you can make a repeated prompt shorter the second or third time that it is given. By removing unnecessary words or explicit directions, a more natural interchange is achieved.

#### **Example of a Tapered Prompt**

System: You have three new messages. The first is from Mark Adams. Say "read message," "skip message," or "delete message."

---

You have two messages remaining. The next is from Mary Ruiz.

#### **Incremental Prompts**

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Incremental prompts provide information to the user in small fragments. Each prompt is followed by a pause to allow for the user's response. Incremental prompts can be a time saver for expert users. However, they can be problematic for novice users and can lead to collisions between the subsequent prompt and the user's spoken response.

#### **Example of an Incremental Prompt**

System:	Welcome to XYZ Brokerage. What would you lik to do?	
	(Pause.)	
	You can check an account balance, get a stock quote, or buy a stock.	
	(Pause.)	
	Say "check balance," "get quote," or "buy a stock."	
See Also:	"Latency," page 59	



Feedback is a system output designed to inform users of the results of their actions. Feedback can be a visual cue, spoken in the form of text-to-speech (TTS), an auditory tone, or a combination of these elements. Feedback should provide users with the following information:

- Was their utterance heard?
- If heard, was the speech correctly interpreted?
- Is the system processing data or waiting for input?

## **Tips for Providing Feedback**

Follow these tips when providing feedback to the user:

#### **Implicitly Verify Commands That Present Data**

Avoid literal feedback. Users get frustrated when a system constantly repeats, "You said..." and then re-states the exact response that it recognized. This is especially true if the system is wrong. Instead, implicitly verify commands by incorporating the verification into the next prompt. For example...

System:	Which stock would you like to purchase?	
User:	XYZ Data.	
System:	How many shares of XYZ Data would you like to purchase?	
Explicitly Verify Commands That Destroy Data or Are Irreversible		
Be explicit when the cost of the action is high. For example		
System:	Which contact would you like to delete?	
•	, , , , , , , , , , , , , , , , , , ,	
User:	Amy Wang.	



#### Provide a Transcription in Mixed Modal Systems

When combining VUI with GUI elements, provide a text transcription of what the user said. While literal feedback can be annoying to the user when provided via spoken system output, a text transcription can let the user know that the utterance was recognized.

#### Provide Other Visual Cues in Mixed Modal Systems

Visual cues, such as VU meters or icons, provide the user with feedback about the system. When working in a mixed modal system, it is best to provide visual cues about the system state whenever possible.



## **Dealing with Failures and Errors**

CASSI<sup>™</sup> recognizes a high percentage of user input, but occasional recognition failures and user errors do occur. It is up to the system designer to create an interface that accounts for recognition failures and minimizes the instances of user error.

## **Causes and Consequences of Failures and Errors**

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Common causes of failures and errors include the following:

- Hardware, such as microphone, turned off or not ready
- Background noise
- User spoke too soon
- Utterance not in grammar
- User paused too long during utterance
- Word is out of vocabulary

Failures and errors can have serious consequences in speech applications, breaking the user's perception of a human conversational model. While it is generally not a good idea to try to convince users that they are interacting with an almost-human machine, it is important to realize that users will frequently interact with the system as if it were human. When users speak to the system, they expect the system to respond.

Another consequence of a failure or error is that the user must initiate the correction. For example, if the user mispronounces a word and the system fails to recognize it, then the user must take action to correct the pronunciation.

## **Tips for Handling Failures and Errors**

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Follow these tips when handling system failures and user errors:



#### **Do Not Use Repetitive Messages**

The third or fourth time that an error prompt is repeated, it may lead to user frustration or anger, regardless of how politely the message is phrased.

#### **Provide Progressive Assistance**

Instead of just rephrasing the error prompt, incrementally provide more help to the user. For example...

Prompt 1:	Say again.
Prompt 2:	Sorry. Please rephrase.
Prompt 3:	Still no luck. Please speak clearly, but do not overemphasize.
See Also:	"Incremental Prompts," page 52

#### Provide a Model

One way of dealing with errors is to provide the user with a model. For example...

System:	On what date would you like to make the new appointment?
User:	A week from tomorrow.
System:	I'm sorry. I don't understand. Say the date of the appointment. For example, say "April 23, 2001."

#### **Provide Explicit Choices**

Re-prompting with explicit choices can orient a user. For example...

System:	Would you like to edit this contact?
User:	I want the phone number.
System:	I don't understand. Would you like to edit this con- tact? Say "yes" or "no."



#### Use Visual Cues if Available

Sometimes errors can be missed by the user. When designing for a mixed modal system, use visual cues to help the user recognize the occurrence of an error.



## **Mixing VUIs and GUIs**

In general, the issues involved in designing prompts for mixed modal systems are the same as they are in VUI-only systems. Most importantly in mixed modal systems, you should keep in mind the ways that the user will access the interface.

For example, while some devices, such as cell phones, may be equipped with visual displays, you may be better off thinking in terms of voice only as you design such a VUI. When users interact with the voice interface of a cell phone, they may not be able to see the GUI. However, users of PDA devices may refer to the screen frequently.

If the screen is visible while the user speaks, then a GUI can be useful in providing feedback to the user. For example, a GUI can display a transcription of what the user has spoken, letting the user know if the system has recognized the command. GUIs can also provide a visual indication of the state of the system, such as whether or not the system is listening or processing an utterance.

## Latency

In a VUI, pauses convey meaning to the user that you may not intend. System pauses that are inherent in the design of the system, often referred to as latency, can lead to misinterpretation by the user. For example, latency may be interpreted as a cue to speak. If your device is prone to system delays, then make sure to take these delays into account when designing your prompts.



# 4 BNF Grammar

This section of the documentation defines the grammar format, Backus-Naur Form (BNF), which is recognized by the Conversay<sup>TM</sup> speech engine.

#### In This Section

- About Backus-Naur Form
- Using BNF Grammars with CASSI
  - A Simple Grammar
  - Using Tag Mapping
  - Making Rules Optional
  - Using Recursive Grammars
  - Creating More Complex Grammars
  - Tips for Forming Grammars
- Example Grammars



## **About Backus-Naur Form**

BNF was originally created to describe the syntax of the Algol 60 programming language. The convention was later modified and now describes the syntax of many languages. Easily learned and unambiguous, BNF defines a grammar with mathematical precision and is a broadly accepted standard. CASSI<sup>TM</sup>, the speech engine of the Mobile Conversay API, also uses BNF.

## Using BNF Grammars with CASSI

Grammars define the rules that a language must obey to be interpreted correctly. Speech recognition systems use grammars for an important reason: grammars allow the systems to achieve reasonable recognition accuracy and response time by constraining what the speech engine listens for. For example, a simple window control grammar may include phrases such as "open file," "close window," and "expand window." The speech engine listens only for commands that make sense in the context of the window control grammar.

BNF uses a set of rules for terminal and non-terminal symbols. Terminal symbols, or characters, are words or phrases that the speech recognition system is listening for. Non-terminal symbols are bracketed by the < and > meta-symbols. Non-terminals are also called rules. Each rule in the grammar must have a corresponding terminal or another rule.

In a spreadsheet program, the grammar may include phrases like "new spreadsheet," "open file," "close file," "save file," "exit," and "help." In BNF format, this grammar is represented with this text string:

```
"<mainmenu> ::= NEW_SPREADSHEET | OPEN_FILE | \
CLOSE_FILE | SAVE_FILE | EXIT | HELP."
```

The definition follows the definition indicator (::=) and states that <mainmenu> is defined as "new spreadsheet," "open file," "close file," "save file," "exit," and "help." If <mainmenu> is the grammar listened for at the spreadsheet's main menu interface, then the speech engine can listen for any of these phrases when the user visits the spreadsheet's main menu. The definition indicator is a BNF meta-symbol that may be read as either "consists of" or "defined as." The indicator always has a definition on its right-hand side.

In the example above, the | meta-symbol (read as "or") separates different possible alternatives in a definition. The speech recognition engine will listen for any of the options separated by the | meta-symbol.

The . meta-symbol is used to indicate the end of a definition. You can include more than one definition in a text string by using the . meta-symbol to separate them.

The \_ meta-symbol concatenates words in a phrase. For example, you may want the speech recognition engine to return "save file" rather than "save" and "file."

NOTE: In C and C++ programs, BNF grammars are passed to the CASSI speech engine through text strings. Therefore, make sure to treat the BNF grammar as a text string by surrounding it with quotation marks (" ") and concatenating more than one line with the \ character.

Element	Appearance	Description
Terminal	text	Any character or character sequence that occurs in a text string and is a word or phrase that the speech recognition system is listening for.
Non-Terminal	<rule></rule>	Any word in angle brackets. Must be defined somewhere within the string. In a speech grammar, the word in angle brackets is also called a rule.
Definition Indicator	::=	Used to define a terminal or non-terminal, which resides on the left side. The terminal or non-terminal on the right side is the definition.
Or Indicator		Separates alternatives in a definition.
Definition End Indicator	•	Indicates the end of a definition.

Table 4-1 The Elements of BNF

## A Simple Grammar

. . . .

Suppose we want to create a grammar that defines the syntax needed to look up the telephone area code of a city. The grammar could be part of a program that allows users to say an area code and get information about the place. To construct the BNF grammar, words and phrases that users might say to find specific area codes are listed. For example, "area code," "show me the area code," and "where is the area code?"

To express a permissible sequence of words, the words are listed in the text string and separated by an underscore. The word pair AREA\_CODE allows the phrase "area code" to be recognized, but not "code area." These two words are terminals in BNF grammar, so they stand alone without further explanation. Terminals allow for any number of words in a sequence, such as WHERE\_IS\_AREA\_CODE.

People typically use variations of phrases, depending on the individual person or context. To allow more than one word sequence, the grammar uses the or (|) indicator, like this:

"AREA\_CODE | WHERE\_IS\_AREA\_CODE | \ LOOK\_UP\_AREA\_CODE."

The or indicator allows the program to recognize any of the three phrases. It is necessary in BNF notation to label such combinations of words and alternatives with a rule that describes how the user can use the phrases. This allows the program to refer to the alternatives many times in shorthand by a specified name. For instance, we could name the group of alternatives start\_phrase:

```
"<start_phrase> ::= AREA_CODE | \
WHERE_IS_AREA_CODE | LOOK_UP_AREA_CODE."
```

**NOTE**: The definition indicator separates the defined word and is terminated with a . meta-symbol.

A grammar can consist of more than one rule. For example, the grammar that looks up the area code could continue like this:

```
"<area_code> ::= <digit> <digit> <digit>."
"<digit> ::= OH | ZERO | ONE | TWO | THREE | \
FOUR | FIVE | SIX | SEVEN | EIGHT | NINE."
```

This simple grammar includes a rule, <area\_code>, that is comprised of other rules. This rule structure ensures that only area codes comprised of three digits will be recognized.



## Using Tag Mapping

-

Recall the previous example that showed phrases in the main menu of a spreadsheet program, such as "open file" and "exit." While this gives users a way of performing tasks based on a certain phrase, you should design with the knowledge that users will use many different words or different phrases to perform a task. For example, a user might say "quit." Since "quit" is not part of the grammar, the speech engine cannot recognize the word. Fortunately, this is an easy problem to avoid. It is possible to use a tag within the grammar to map more than one word to the same tag. The tag is placed to the right of the word and a (:) in the definition, like this:

```
"<mainmenu> ::= NEW_SPREADSHEET | OPEN_FILE |
CLOSE_FILE | SAVE_FILE | EXIT:EXIT | QUIT:EXIT |
HELP."
```

In the example above, the words "exit" and "quit" both have a tag of EXIT. It is possible to map any number of words or phrases to the same tag. Using multiple tag mapping relieves some of the burden of accuracy from the user. The grammar shown below offers more flexibility because of the multiple tag mapping:

"<hello\_or\_bye> ::= HELLO:HELLO | HOWDY:HELLO |
HI:HELLO | GOODBYE:BYE | BYE:BYE."

The sample code includes a tag on each side of the <hello\_or\_bye> terminals. In this example, the tags allow the grammar to understand "hello," "howdy," and "hi," as different ways of saying "hello." Similarly, it recognizes "goodbye" and "bye," as equivalent to "bye."

Tags also allow the grammar to concatenate spoken digits into a single number, making the underlying processing more efficient. This is not a requirement because CASSI recognizes single digits by the words they are associated with, but may be useful in some applications. It may be desirable, for example, to make the following change to the grammar of the area code lookup program:

```
"<digit> ::= OH:0 | ZERO:0 | ONE:1 | TWO:2 |
THREE:3 | FOUR:4 | FIVE:5 | SIX:6 | SEVEN:7 |
EIGHT:8 | NINE:9."
```

With this grammar, if the user said "two oh one", the tags attached to those digits could be used to concatenate the three numbers into the number 201. If the user said "two zero one" or "two oh one", the number 201 would be returned.



## Making Rules Optional

. . . .

The previous example showed that parts of a definition within a grammar can be made conditional by using tag mapping. Rules of a grammar can also be made optional. In BNF this is achieved by using the (|) indicator with the rule, similar to the way definitions are approached. Consider a grammar for a simple window control program without conditional rules:

```
"<command> ::= <action> <object>."
"<action> ::= OPEN | CLOSE | DELETE | MOVE."
"<object> ::= WINDOW | FILE | MENU."
```

This grammar allows the user to say commands such as "open window," "close file," or "move menu." However, users may choose to say "open a file," or "close the window." Because "a" and "the" are not part of the grammar, these phrases are not recognized. It is possible to use tags to specify alternate phrases or even create many alternate definitions for these rules. However, these are not elegant or efficient solutions. Instead, the following grammar could be created:

```
"<command> ::= <action> <object> | <action>
<article> <object>."
"<action> ::= OPEN | CLOSE | DELETE | MOVE."
"<article> ::= THE | A."
"<object> ::= WINDOW | FILE | MENU."
```

In the example above, the words "the" and "a" define a rule called <article>. This rule is part of a definition for the <command> rule, but the definition for <command> also allows the user to speak without using "the" or "a."

It's a good idea to allow the user to perform speech commands using normal conversational phrases. This could include phrases such as "please." Using optional rules allows users to be as polite as they want to be. Consider this grammar:

```
"<request> ::= <verb> <possession> <noun> |
<polite> <verb> <possession> <noun> | <verb>
<possession> <noun> <polite>."
"<verb> ::= GET | SEE | OPEN."
"<possession> ::= MY."
"<polite> ::= PLEASE."
"<noun> ::= CONTACTS | SCHEDULE | CALENDAR."
```

In this grammar, <request> can be recognized whether the user says "Get my schedule," "Please get my schedule," or "Get my schedule please."

## **Using Recursive Grammars**

Recursive grammars allow the user to say consecutive lists of words or items and have continuous recognition occur. For example, a user could say a number of indefinite length such as an address or a currency amount:

"<digits> ::= <digit> <digits> | <digit>."
"<digit> ::= ONE | TWO | THREE | FOUR | FIVE |
SIX | SEVEN | EIGHT | NINE | ZERO | OH:ZERO."

In addition, using recursive grammars, it is possible for a user to spell a word such as a stock symbol by speaking a word to represent each letter. For example, the user could say "kilo oscar" to indicate the symbol for the Coca-Cola Company, "KO."

```
"<stock_symbol> ::= <letter><letters> | <letter>."
"<letters> ::= <letter><letters> | <letter>."
"<letters> ::= ALPHA:A |BRAVO:B | CHARLIE:C |
"DELTA:D | ECHO:E | FOXTROT:F | GOLF:G | HOTEL:H
| INDIA:I | JULIET:J | KILO:K | LIMA:L | MIKE:M
| NOVEMBER:N | OSCAR:O | PAPA:P | QUEBEC:Q |
ROMEO:R | SIERRA:S | TANGO:T | UNIFORM:U |
VICTOR:V | WHISKEY:W | X-RAY:X | YANKEE:Y |
ZULU:Z."
```

## **Creating More Complex Grammars**

Using optional rules and recursion it is possible to create complex grammars. For example, consider a grammar for the Preamble to the Constitution of the United States of America. The grammar could be used to create a quiz program that prompts students to recite the entire 52-word phrase by memory, phrase by phrase, starting with the opening phrase. If desired, the quiz program could be designed to distinguish between a part of a phrase that was correct and a phrase that was almost correct, but not quite. It would also be possible for the program to offer suggestions for each phrase that the student missed. For example, if a student said "in order to form a perfect union," the program could respond with "You are very close. Don't give up now. Try again." Or, the program could say "Try again, but

. . . .



this time say 'form a *more* perfect union' instead of 'form a perfect union." The program could continue in this fashion until the student recited the entire Preamble.

The problem of composing a grammar that could be used for the quiz program can be tackled in three steps. The first task is to assign each part of the sentence a rule name; the name could be based on the phrase's part of speech, such as "subject" or "verb." For each sentence part, two rules are created: one with the label of \_exact to indicate the exact word or phrase, and another with the label \_almost for very close answers. A complete set of these rules would look like this:

```
"<subject exact> ::= WE THE PEOPLE."
"<subject almost> ::= WE | WE PEOPLE."
"<subj_modifier_exact> ::=
OF_THE_UNITED_STATES_OF_AMERICA."
"<subj modifier almost> ::= OF THE U S A."
<prep_phrase_exact> ::= IN_ORDER_TO."
"<prep phrase almost> ::= SO THAT | SO WE CAN."
"<prep_phrase_1_exact> ::=
FORM A MORE PERFECT UNION."
<prep_phrase_1_almost> ::= FORM_A_PERFECT_UNION."
"phrase 2> ::= ESTABLISH JUSTICE."
"prep phrase 3 exact> ::=
INSURE DOMESTIC TRANQUILITY."
"<prep_phrase_3_almost> ::=
INSURE DOMESTIC HARMONY | INSURE TRANQUILITY."
<prep_phrase_4> ::=
PROVIDE_FOR_THE_COMMON_DEFENSE."
"<prep_phrase_5> ::= PROMOTE_THE_GENERAL_WELFARE."
"phrase 6a> ::=
SECURE THE BLESSINGS OF LIBERTY."
"<prep_phrase_6b_exact> ::=
TO_OURSELVES_AND_OUR_POSTERITY."
"<prep_phrase_6b_almost> ::=
TO_OUR_POSTERITY_AND_OURSELVES."
"<verb_phrase_exact> ::= DO_ORDAIN_AND_ESTABLISH."
```



```
"<verb_phrase_almost> ::= DO_ESTABLISH_AND_ORDAIN
| ORDAIN_AND_ESTABLISH."
"<pred_adj_exact> ::= THIS."
"<pred_adj_almost> ::= THE."
"<pred_obj> ::= CONSTITUTION."
"<pred_phrase_exact> ::=
FOR_THE_UNITED_STATES_OF_AMERICA."
"<pred_phrase_almost> ::= FOR_THE_U_S_A |
FOR_THE_UNITED_STATES."
```

The next step in defining the grammar would be to create additional rules that can be used to ascertain if the student has said a given phrase closely, but not exactly. One way to do this would be to use recursion to create additional rules such as these:

```
"<opening_phrase_exact> ::= <subject_exact>
<subj_modifier_exact>."
"<opening_phrase_almost> ::= <subject_almost>
<subj_modifier_almost>."
"<subject_almost> ::= <subject_exact> |
<subj_modifier_almost> ::= <subj_modifier_exact>
| <subj_modifier_almost> ::= <subj_modifier_exact>
```

The above rules establish that several alternative phrases to "We the people of the United States of America" will be recognized as almost like the opening phrase of the Preamble. For example, "We the people of the USA," "We people of the USA," and "The people of the United States of America" are all defined as almost the opening phrase. However, since any correct grammar for the opening phrase consists in the subject followed by the subject modifier, nonsense phrases will not be mistaken for close expressions. For example, if a student said "Of the United States of America, we the people" this would not be recognized.

After rules such as these have been established for each phrase in the Preamble, the grammar could be completed by establishing rules for what defines a completely correct expression and what is considered a close approximation. For example, the rules that complete the grammar could look like these:

```
"<preamble_exact> ::= <subject_exact>
<subj_modifier_exact> <prep_phrase_exact>
<prep_phrase_1_exact> <prep_phrase_2>
<prep_phrase_3_exact> <prep_phrase_4>
```



```
<prep_phrase_5> <prep_phrase_6a>
<prep_phrase_6b_exact> <verb_phrase_exact>
<pred_adj_exact> <pred_obj> <pred_phrase_exact>."
"<preamble_almost> ::= <subject_almost>
<subj_modifier_almost> <prep_phrase_almost>
<prep_phrase_1_almost> <prep_phrase_2>
<prep_phrase_3_almost> <prep_phrase_4>
<prep_phrase_5> <prep_phrase_6a>
<prep_phrase_6b_almost> <verb_phrase_almost>
<pred_adj_almost>."
```

## **Tips for Forming Grammars**

. . . .

The following tips may be useful to keep in mind when forming BNF grammars:

- A grammar may fail to work properly if any terminal is misspelled, so use a spell check to find errors.
- Use standard spelling for your grammar; don't try to spell words phonetically.
- A grammar may fail to compile properly if a rule is undefined. If the grammar doesn't work as it was intended, check the BNF syntax.



## **Example Grammars**

Example grammars are a good place to observe best practices for creating BNF grammars in applications created using the SDK.

This example demonstrates a complex grammar that is listening for multiple commands.

```
"<command> ::= <schedule> | <next>."
"<schedule> ::= TODAYS | SCHEDULE |
TODAYS_SCHEDULE."
"<next> ::= <adj> <subject> | <subject>."
"<adj> ::= JUST | JUST_MY."
"<subject> ::= NEXT | NEXT_MEETING."
```

This example below demonstrates a way to create a recursive grammar that listens for noise by using the noise phone symbol (\$).

```
"<any> ::= <noise> <any> | <noise>."
"<noise> ::= $AA | $AE | $AO | $AX | $AXR | $B |
$BD | $DD | $EH | $EY | $K | $L | $M | $IY | $N
| $R | $SH | $T | $TD | $V | $Z."
```

The example below demonstrates a complex grammar that is listening for multiple phrases and synonyms. It also references the above rule for <any>, to account for noise that may interfere with the speech recognition.

```
"<phrase> ::= <verb> <subject> | WHAT_CAN_I_SAY."
"<verb> ::= <any> | <any> GET_MY | GET_MY."
"<subject> ::= <mail> | <calendar> | <contacts>."
"<mail> ::= MAIL | EMAIL."
"<calendar> ::= CALENDAR | SCHEDULE."
"<contacts> ::= CONTACTS | ADDRESS_BOOK."
```

The above grammar could potentially even recognize a sentence preceded by a user who coughed before speaking.

The following example demonstrates a grammar that is listening for an adjective and an optional noun.

```
"<email> ::= <adj> | <adj> MAIL."
"<adj> ::= ALL | UNREAD | JUST_UNREAD."
```

The following examples demonstrate simple lists of things the user can say.

"<calendar\_flow> ::= STOP | STOP\_READING | NEXT |

```
NEXT_MEETING | SKIP | GO_BACK."
"<contact> ::= MARK_ADAMS | LINDA_BAKER |
THOMAS_CHAVEZ | GEORGE_HILL | PATRICIA_ROBERTS |
MARY_RUIZ | STEPHANIE_SMITH | DAVID_THOMPSON |
AMY_WANG | BRIAN_WHITE."
"<contact_flow> ::= ADDRESS | PHONE_NUMBER | MAIL |
EMAIL | ALL_INFORMATION |
ALL_CONTACT_INFORMATION."
<email_flow> ::= STOP | STOP_READING | NEXT |
NEXT_ITEM | NEXT_MESSAGE | SKIP | GO_BACK."
```



# 4 Glossary

#### barge-in

The ability to interrupt audio output as a result of recognition of a user utterance. Barge-in is a mode of the application and is only possible in a full-duplex system.

#### BNF (Backus-Naur Form)

A notation for describing the syntax of a language. The grammar format that is recognized by CASSI<sup>TM</sup>. For example, the notation for a grammar rule called <filemenu> could be written like this:

<filemenu> ::= OPEN\_FILE | CLOSE\_FILE

#### CASSI (Conversay Advanced Symbolic Speech Interpreter)

The core speech recognizer and synthesizer used by Mobile Conversay<sup>TM</sup> SDK.

#### **CASSI Services**

The API that interacts with CASSI. May be accessed through C or C++.

#### class factory

An object that facilitates the creation of instances of the root object. The class factory of the CASSI Services API is CVACreateInstance.

#### context

A logical grouping of topics. For example, in a speech application that provides access to flight reservations, topics that are related to a particular itinerary may be grouped into one context. Ticket price and seat preferences would be two other contexts.



#### conversational focus

The context and topics that are active. Referred to as "in conversational focus." Inactive topics are frequently referred to as "out of conversational focus."

#### duplex

A measure of an audio system's capability to handle sound input and output. A full-duplex system is capable of simultaneous input and output, allowing for barge-in or for recording and playing sound simultaneously. A half-duplex system must alternate between input and output.

#### embedded Linux®

The Linux-based platform for mobile devices, including PDAs and hand-held computers.

#### grammar

A set of language rules that aids recognition accuracy and response time in speech recognition systems by constraining what the speech engine listens for. For example, a grammar may contain a rule called "filemenu" that recognizes only "open file" and "close file." BNF (Backus-Naur Form) is the grammar format recognized by the CASSI speech engine.

#### noise phones

Noises that do not coincide with the phoneme set of a language.

#### noise phone level

The rate at which the system recognizes noise phones, relative to normal recognizable speech.

#### PDA (Personal Digital Assistant)

A small mobile hand-held device that provides computing capabilities for personal or business use. PDAs are typically used for keeping address book and schedule information, in addition to meeting other mobile computing needs.

#### phoneme set

The abstract units of a language's phonetic system. These units correspond to a set of similar speech sounds, which are perceived to be a single distinctive sound by human listeners.



#### Pocket PC

The Microsoft® Windows®-powered platform for PDAs and handheld computing.

#### prompt

In a speech application, an audible or visual cue that indicates that it is the user's turn to speak.

#### reco (speech recognition)

The ability to take a voice waveform and match it to a specified grammar.

#### ref-count (reference-count)

A count that tracks accessing and closing a topic in a grammar. When a topic in a grammar is accessed or closed, its ref-count number is incremented or decremented. Topics with a ref-count number of 0 are deleted to free system resources.

#### STP (spelling-to-pronunciation)

A module that allows the CASSI speech engine to synthesize and recognize speech without looking up the pronunciation in the speech engine's dictionary module. Without STP rules, applications must have all grammar items loaded in a dictionary to allow the grammar to be compiled.

#### threshold (or voice threshold)

An amplitude energy level that must be overcome before a waveform will be sent to the recognition engine.

#### topic

Specification of the words that can be recognized by the speech engine in a particular context. For example, in a speech application that provides banking services, topics in the checking account context could specify words that are related to transaction dates, check numbers, payments, and deposits.

#### TTS (text-to-speech)

The synthesis of text into speech waveforms. The text-to-speech capability of the CASSI speech engine includes text normalization and prosody processing.

#### utterance

A single spoken event. May consist of a single word or of several words spoken continuously.

#### VUI (voice user interface)

A user interface that includes speech recognition, recorded speech output, and synthetic speech output to communicate with the user.

#### WCIS (What Can I Say?)

A help service that informs the end user of the commands that the system is listening for. For example, a WCIS response could be, "You can say coffee, tea, milk, or no beverage."

#### WYS (What You Said)

A help service that informs the end user of what the speech engine has recognized as input.



#### Α

Acrobat Reader 11 Activate 36 activating topics 36, 43 AddRef 31 Adobe Acrobat Reader 11 alphabet 67 **ARM 21** ARMdbg 27 ARMrel 27 assistance for users 56 audio conflicts between programs 30 instantiating 40 instantiating an output stream object 41 audio system, controlling 36 avoiding duplication 31

#### В

Backus-Naur Form 60, 73 about 61 example of 62, 63, 68, 71 interacting with CASSI 62 optional rules 66 recursive grammar 67 setting a grammar for a topic 42 substituting words in 65 tips 70 barge-in definition of 73 basics 37 best practices 32 binary files 28 BNF 60, 73 about 61 example of 62, 63, 68, 71 grammar 10 interacting with CASSI 62 optional rules 66 recursive grammars 67 setting a grammar for a topic 42 substituting words in 65 tips 70

#### С

C API developing in 13 sample application 13 C language 13 C++ language 13 C5ae.stp 28 c5ae.stp 16, 17, 22 C5ae08k.aqt 28 c5ae08k.aqt 16, 17 C5ae08k.mod 28 c5ae08k.mod 16, 17, 22 C5ae11k.spk 28 c5ae11k.spk 16, 17, 22 c5aem08k.aqt 22 C5aemain.cdc 28 c5aemain.pdc 16, 17, 23 C5cassi.dll 16, 22 calculator 29 callback processing 36 processing, example of 44 setting 35 setting, example of 43 CASSI 16, 73 interacting with BNF 62 CASSI Services 16 definition of 73 CASSI\_HOME 17

causes of errors 55 characters 62 CHelloWorldApp 38, 40, 44, 46 chip sets 21, 27 class constructor 39 class destructor 40 class resources, releasing 37 commands, listening for multiple 71 communicating status to the user 53 Compile 35 compiled HTML 12 compiling speech application 26 topic 31 topic, example of 43 concatenation 62, 63, 65 conditional rules 66 confirming recognition 53 conflicts, audio resources 30 consequences of errors 55 constructor 39 context 30 adding a topic to 42 adding to an SR object 34, 41 creating 33, 40 definition of 73 example of 33 instantiating 41 multiple contexts 36 continuous recognition 67 controlling the audio system 36 conversational flow, how to improve 52 conversational focus 30, 36, 74 Conversay Advanced Symbolic Speech Interpreter 73 Conversay Developer Network 18 Conversay documentation 18 cues 51 cues, visual 54 CVAApi.lib 27

CVAAudio.dll 16, 22 CVACreateInstance 33, 34 CVAOBJMACROS 13 CVAProxy.dll 16, 22 CVAPtr.h 26 CVAPwrMgmt.exe 16, 22 CVAServer.exe 16, 22 CVAServices.h 26, 38 cvaservices.h 13 CVATypes.h 26

#### D

data files 28 data type declarations 26 Deactivate 36 deactivating topic 36 debug libraries Pocket PC emulator 27 SH3 27 StrongARM 27 declarations, public data type 26 defining strings 38 definition end indicator 63 definition indicator 63 designing a voice user interface 10, 49, 50 destructor 40 digits, concatenating 65 documentation formats 11 duplication, avoiding 31

#### Ε

Embedded Linux 74 environment variable 17 error handling 55 example of 46 providing examples to users 56 providing explicit choices to users 56 providing visual cues to users 57

#### errors

causes of 55 consequences of 55 tips for handling 55 eventData 36 EventMsg 36 exit event 45 explicit feedback 53 explicit prompts 51

#### F

failures 55 causes of 55 consequences of 55 tips for handling 55 features 9 feedback in mixed modal systems 54 introduction to 53 tips 53 file paths 38 FinancialApp 29 focus 30, 36 hierarchy 30 format compiled HTML 12 HTML 12 **PDF 11** 

#### G

getting started 10

grammar best practices 71 complex 67 creating 40 example of 45, 46, 63, 68 optional rules 66 recursive 67, 71 setting for a topic 42 spelling in 70 substituting words in 65 tags in 65 tips 70 grammar, example of 45

#### Н

hardware requirements 21 header files 26 hello world 37 hellobye.wav 43 hellostart.wav 43 HelloWorld 29 hierarchy conversational focus 30 hints 51 Hitachi 21 HTML 12

#### I

ICVAContext 30, 33, 34, 37 ICVASRInstance 30, 33, 34, 37 ICVATopic 30, 34, 35, 36 ICVATTSInstance 33 implicit feedback 53 implicit prompts 51 improving speech recognition 62 include files 26, 38 incremental prompts 52, 56 instantiating context 41 instantiating speech recognition 40 instantiating TTS 41

interface declarations 26 introduction 8, 9, 10, 37

#### L

latency 52 libraries 27 limiting words listened for 62 linking a speech application 26 Linux 21 listening for lists 71 for multiple commands 71 for multiple phrases and synonyms 71 for optional words 71 for speech 33 lists, listening for 71 loan calculator 29

#### Μ

main function, example of 48 memory 21, 31 messages, repetitive 56 meta-symbols 62 military alphabet 67 minimizing user error 55 MIPS 21 mixed modal system 58 visual cues 57 multiple commands 71 multiple contexts 36 multiple phrases and synonyms 71 multiple topics 36 multithreaded application support 30

#### Ν

noise phone noise phone symbol 71 noise tolerance 71 non-terminal 63 non-terminal symbols 62

#### 0

optimizing performance 31, 32 optimizing speech recognition 62 optional rules 66 optional words 71 or indicator 63 overview 10

#### Ρ

path names 38 **PDA 74 PDF** 11 performance, optimizing 31 personal digital assistant 74 playing wave files 43 Pocket PC 75 Pocket PC emulator 21 debug libraries 27 release libraries 27 pointer 26 portability 38 portability.h 38 procedures, identifying 11 progressive assistance for users 56 prompts choosing between explicit and implicit 51 incremental 52 introduction to 51 tapering 52 public data type declarations 26

#### R

RAM requirements 21 reco, definition of 75 recognition continuous 67 example of 38 improving 62 recursive grammars 67, 71 ref-count, definition of 75

reference count 31, 75 Release 31, 37 release libraries Pocket PC emulator 27 SH3 27 StrongARM 27 **ReleaseResources** 44 releasing resources 37, 44, 46 removing unnecessary words 52 repetitive messages 56 resource management 31 resources, releasing 37, 44, 46 rules 62 any 71 for grammars 64 if left undefined 70 optional 66 run-time files 28

#### S

sample application 37 saving time of expert users 52 saying lists of words 67 saying strings of numbers 67 **SDK 75** SetEventCallback 35 SetGrammar 35 Setup.exe 22 SH3 21 debug libraries 27 release libraries 27 SH3dbg 27 SH3rel 27 smart pointers 26 software development kit 75 speech patterns, designing for 66 speech recognition 75 example 38 instantiating 40 speech recognition object 33

speech synthesis 33 spelling in grammars 67, 70 spelling to pronunciation 75 SpPref.exe 16 SR 30 SR object 33 STDTypes.h 26, 38 step-by-step guide 32 **STP 75** strings, defining 38 StrongARM 21 debug libraries 27 release libraries 27 substituting words 65 SuperH 21 synthesizing speech 33 system requirements hardware 21 system resources freeing 31 releasing 46

#### Т

tag mapping 65 talking to the user 33 tapering prompts 52 tasks, identifying 11 technical support 18 terminal 63, 64 misspelled 70 terminal symbols 62 text 63 text feedback in GUI 54 text-to-speech 75 instantiating 40, 41 TTS object 33 threshold 75 tips for handling errors 55

#### topic 30, 75

activating 36, 43 adding to a context object 42 adding to context 34 compiling 31 creating 34, 40, 42 deactivating 36 example of topic object 34 multiple topics 36 TTS 75 instantiating 40, 41 TTS object 33

#### U

uncompiled HTML 12 user error 55

#### ۷

verbal thinking 50, 58 visual cues 54, 57 voice threshold 75 voice user interface 76 principles of design 49 user's verbal approach 50 VUI 76 GUI considerations 58 principles of design 49 user's verbal approach 50

#### W

wave file playing 43 WCIS 76 well-behaved applications 32 What Can I Say 76 What You Said 76 WYS 76

#### Х

X86EMdbg 27

X86EMrel 27